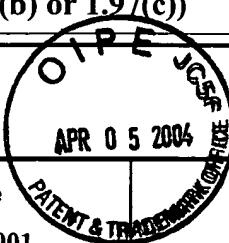


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15689.67

In Re Application: Yukihiko Okumura



Serial No.  
09/763,375

Filing Date  
February 21, 2001

Examiner

Group Art Unit  
2631

DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM,  
TRANSMITTER AND RECEIVER

RECEIVED

APR 09 2004

Technology Center 2600

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.  
 The Director is hereby authorized to charge and credit Deposit Account No. 23-3178  
as described below.  
 Charge the amount of \_\_\_\_\_  
 Credit any overpayment.  
 Charge any additional fee required.

Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
\_\_\_\_\_  
Signature

Dated: April 5, 2004

Adrian J. Lee

Attorney for Applicant

Registration No.: 42,785

Customer No.: 022913

CC:

4-6-04

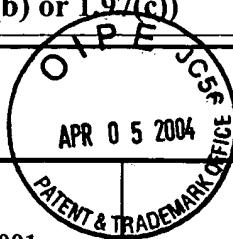
#  
13

2631

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

 4-16-04 Docket No.  
 15689.67

In Re Application Of: Yukihiko Okumura



Serial No. 09/763,375	Filing Date February 21, 2001	Examiner	Group Art Unit 2631
--------------------------	----------------------------------	----------	------------------------

Title: **DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM,  
TRANSMITTER AND RECEIVER**

RECEIVED

APR 09 2004

Address to:  
**Commissioner for Patents**  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Technology Center 2600

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Yukihiko Okumura

U.S. PATENT & TRADEMARK OFFICE  
APR 05 2004

Docket No.

15689.67

Serial No.  
09/763,375

Filing Date  
February 21, 2001

Examiner

Group Art Unit  
2631

Invention: **DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM, TRANSMITTER AND RECEIVER**

**RECEIVED**

I hereby certify that the following correspondence:

APR 09 2004

(see below)

Technology Center 2600

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

April 5, 2004

*(Date)*

**ADRIAN J. LEE**

*(Typed or Printed Name of Person Mailing Correspondence)*

  
*(Signature of Person Mailing Correspondence)*

**EV 384065837 US**

*("Express Mail" Mailing Label Number)*

**Note: Each paper must have its own certificate of mailing.**

Transmittal for Information Disclosure Statement (2 pages; duplicate);  
Information Disclosure Statement Under 37 C.F.R. § 1.97 ( 2 pages);  
Form PTO-1449 Listing of References (1 page);  
Legible Copy of References (3 reference);  
Certificate of Express Mail (EV384065837US);  
Acknowledgment Postcard

EXPRESS MAIL NO. EV 384065837 US

PATENT APPLICATION  
Docket No: 15689.67



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yukihiko Okumura

Serial No.: 09/763,375

) Art Unit  
2631

Confirmation No.: 4856

Filed: February 21, 2001

For: DATA TRANSMISSION METHOD, DATA  
TRANSMISSION SYSTEM, TRANSMITTER  
AND RECEIVER

Customer No.: 022913

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

RECEIVED

APR 09 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Technology Center 2600

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED April 2, 2004.

Respectfully submitted,



ADRIAN J. LEE  
Attorney for Applicant  
Registration No. 42,785  
Customer No. 022913

AJL:ds  
DS0000001872V001

